

Large-Scale Analysis of Determinants, Stability, and Heritability of High-Density Lipoprotein Cholesterol Efflux Capacity

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Objective—Cholesterol efflux capacity (CEC) has emerged as a biomarker of coronary artery disease risk beyond plasma high-density lipoprotein (HDL) cholesterol (HDL-C) level. However, the determinants of CEC are incompletely characterized. We undertook a large-scale family-based population study to identify clinical, biochemical, and HDL particle parameter determinants of CEC, characterize reasons for the discordancy with HDL-C, quantify its heritability, and assess its stability over 10 to 12 years.

Approaches and Results—CEC was quantified in 1988 individuals from the GRAPHIC (Genetic Regulation of Arterial Pressure of Humans in the Community) cohort, comprising individuals from 2 generations from 520 white nuclear families. Serum lipid and lipoprotein levels were determined by ultracentrifugation or nuclear magnetic resonance and HDL particle size and number quantified by nuclear magnetic resonance. Ninety unrelated individuals had repeat CEC measurements in samples collected after 10 to 12 years. CEC was positively correlated with HDL-C ($R=0.62$; $P<0.0001$). Among clinical and biochemical parameters, age, systolic blood pressure, alcohol consumption, serum albumin, triglycerides, phospholipids, and lipoprotein(a) were independently associated with CEC. Among HDL particle parameters, HDL particle number, particle size, and apolipoprotein A-II level were independently associated with CEC. Serum triglyceride level partially explained discordancy between CEC and HDL-C. CEC measurements in samples collected 10 to 12 years apart were strongly correlated ($r=0.73$; $P<0.0001$). Heritability of CEC was 0.31 ($P=3.89\times 10^{-14}$) without adjustment for HDL-C and 0.13 ($P=1.44\times 10^{-3}$) with adjustment.

Conclusions—CEC is a stable trait over time, is influenced by specific clinical, serum, and HDL particle parameters factors beyond HDL-C, can be maintained in persons with a low plasma HDL-C by elevated serum triglyceride level, and is modestly independently heritable.



Visual Overview—An online [visual overview](#) is available for this article. (*Arterioscler Thromb Vasc Biol.* 2017;37:1956-1962. DOI: 10.1161/ATVBAHA.117.309201.)

Key Words: genetics ■ lipoprotein(a) ■ phospholipids ■ triglycerides ■ ultracentrifugation

Numerous studies have shown that low levels of plasma high-density lipoprotein cholesterol (HDL-C) are strongly associated with an increase in the risk of coronary artery disease.¹ However, several therapies that increase plasma HDL-C have not shown the anticipated clinical benefit based on the epidemiological association.²⁻⁴ In addition, genetic factors that raise HDL-C are not associated with reduced coronary artery disease.^{5,6} HDL, however, is a heterogeneous population of particles that differ in size and composition,⁷ of which HDL-C is a crude measure. Furthermore, HDL has a variety of functions, the best established being its ability to promote the efflux of cholesterol from lipid-laden macrophages for ultimate return to the liver and biliary

excretion (reverse cholesterol transport).^{7,8} HDL subfractions differ widely in their ability to remove cholesterol from macrophages (cholesterol efflux capacity [CEC]).^{9,10} Hence, HDL functionality, in particular CEC, could be a better disease predictor than total HDL.

A method has been developed of quantifying CEC¹¹ and used to explore relationships with HDL-C and cardiovascular disease.¹²⁻¹⁴ HDL-C concentration is a modest predictor of CEC,¹¹⁻¹⁴ and individuals with similar HDL-C levels can have very different CEC.¹¹ A strong body of data indicates that CEC is inversely associated with atherosclerotic cardiovascular disease even after adjusting for HDL-C and apolipoprotein AI levels. CEC is negatively correlated with carotid

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Nonstandard Abbreviations and Acronyms	
CEC	cholesterol efflux capacity
GRAPHIC	Genetic Regulation of Arterial Pressure of Humans in the Community
HDL-C	high-density lipoprotein cholesterol
Lp(a)	lipoprotein(a)

intima-media thickness and angiographic coronary artery disease.¹² Moreover, CEC is inversely associated with the incident cardiovascular events, independently of HDL-C level.^{13,14} CEC as a measure of HDL function may therefore be a better marker of disease risk that may be more likely to be causally related to disease than is HDL-C concentration. Indeed, there has been substantial interest in therapeutic interventions that increase CEC rather than HDL-C per se.¹⁵

Despite the potential importance of CEC as a predictor of risk and a target for therapeutic intervention, determinants of CEC have not been fully characterized. Here, we sought to identify determinants of CEC, including clinical and biochemical characteristics as well as features of the HDL particle, all assessed in the same individuals. We also sought to identify factors that may explain discordancy between HDL-C level and CEC and determined the stability and heritability of CEC.

Materials and Methods

Materials and Methods are available in the [online-only Data Supplement](#). Analyses were performed in the GRAPHIC (Genetic Regulation of Arterial Pressure of Humans in the Community) cohort,¹⁶ comprising individuals from 520 white nuclear families of European descent.

Results

Characteristics of the Study Population

The clinical and biological characteristics of the 1932 individuals studied are shown in Table 1. The mean age was 39.4±14.5 years (range, 18–61 years), and 49% were male. The mean relative CEC was 1.05±0.16 (range, 0.35–1.70). The distribution of CEC is shown in Figure I in the [online-only Data Supplement](#).

Relationships Between CEC and Clinical and Serum Parameters

As previously reported, CEC positively correlated with plasma HDL-C level ($R=0.62$; $P<0.0001$; Figure II in the [online-only Data Supplement](#)). Univariate analyses of the relationship between CEC and clinical and serum parameters before and after adjustment for plasma HDL-C are shown in Table 2. Several factors, both clinical and biochemical, showed highly significant associations with CEC even after adjustment for plasma HDL-C (although it should be noted that in terms of effect size, these associations were generally rather small). Interestingly, neither current smoking nor diabetes mellitus status associated with CEC. After adjustment for plasma HDL-C, women showed a borderline lower CEC compared with men.

In a multivariate model adjusted for plasma HDL-C and based on 1669 individuals with full data on all parameters

Table 1. Characteristics of the GRAPHIC (Genetic Regulation of Arterial Pressure of Humans in the Community) Cohort

Variable	N	Mean±SD*
Age, y	1932	39.4±14.5
Sex (% Male)	1932	49.3
BMI, kg/m ²	1932	26.1±4.6
Waist:hip ratio	1901	0.85±0.09
SBP, mm Hg	1919	128.1±19.0
DBP, mm Hg	1919	79.8±11.7
Ever smoked (%)	1920	45.0
Current smoker (%)	1920	20.5
Pack years*	715	6.5 (2.5–17.5)
Alcohol consumption, U*†	1910	8.0 (2.0–16.0)
Diabetes mellitus (%)	1932	1.0
Albumin, g/L	1918	45.1±2.8
Total cholesterol, mg/dL	1879	181.7±40.8
Cholesterol ester, mg/dL	1868	137.6±30.7
Free cholesterol, mg/dL	1868	44.3±11.4
LDL cholesterol, mg/dL	1884	112.1±30.3
Triglycerides, mg/dL*	1884	123.0 (89.0–172.0)
Phospholipids, mg/dL	1868	228.8±42.4
Free fatty acids, mg/dL	1879	21.1±9.0
Lipoprotein(a), mg/dL*	1878	15.0 (7.0–27.0)
HDL cholesterol, mg/dL	1884	55.0±13.6
HDL cholesteryl ester, mg/dL	1713	32.0±9.0
HDL free cholesterol, mg/dL	1713	7.00±2.21
HDL triglycerides, mg/dL	1713	18.0±6.0
HDL phospholipids, mg/dL	1712	110.0±25.7
HDL particle number, nmol/L	1869	25769.2±3520.6
Mean HDL particle size, nm	1869	8.19±0.25
Apolipoprotein A-I, mg/dL	1868	155.9±31.3
Apolipoprotein A-II, mg/dL	1867	41.0±7.9
HDL cholesterol efflux capacity‡	1932	1.05±0.16

Categorical variables are presented as percentages and continuous variables as means and SDs. BMI indicates body mass index; DBP, diastolic blood pressure; HDL, high-density lipoprotein; LDL, low-density lipoprotein; and SBP, systolic blood pressure.

*Medians and interquartile ranges are given instead of means and SDs for variables with skewed distributions.

†Alcohol consumption is given as average weekly consumption in UK units, 1 U equating to 10 mL of alcohol.

‡HDL cholesterol efflux capacity is expressed as a percentage of efflux in the sample, normalized to a reference sample.

included (Materials and Methods), 7 factors independently associated with CEC at $P<0.05$ —age, systolic blood pressure, alcohol consumption, and serum levels of albumin, triglycerides, phospholipids, and lipoprotein(a) (Lp(a)) (Table 3) with no evidence of multicollinearity in the final model.

Table 2. Relationship Between Clinical and Serum Parameters and CEC

	Unadjusted for HDL		Adjusted for HDL	
	β (95% CI)	P Value	β (95% CI)	P Value
Age	0.021 (0.015 to 0.028)	6.49×10^{-12}	0.014 (0.009 to 0.018)	1.21×10^{-8}
Sex (Female)	0.058 (0.046 to 0.070)	1.97×10^{-20}	-0.010 (-0.020 to 0.000)	0.041
BMI	-0.010 (-0.017 to -0.004)	0.002	0.013 (0.008 to 0.018)	3.06×10^{-7}
Waist:hip ratio	-0.016 (-0.023 to -0.010)	6.65×10^{-7}	0.018 (0.013 to 0.023)	1.02×10^{-11}
SBP	0.012 (0.006 to 0.019)	1.99×10^{-4}	0.019 (0.014 to 0.024)	3.96×10^{-15}
DBP	0.017 (0.011 to 0.024)	1.42×10^{-7}	0.018 (0.013 to 0.023)	1.18×10^{-13}
Ever smoked (%)	0.007 (-0.006 to 0.020)	0.284	0.012 (0.008 to 0.027)	4.14×10^{-4}
Current smoker (Yes)	0.029 (-0.054 to 0.111)	0.244	0.021 (-0.041 to 0.083)	0.244
Pack years	-0.002 (-0.009 to 0.005)	0.522	0.009 (0.004 to 0.014)	3.95×10^{-4}
Alcohol consumption	0.016 (0.009 to 0.022)	2.56×10^{-6}	0.015 (0.010 to 0.020)	7.19×10^{-10}
Diabetes mellitus (%)	0.015 (-0.047 to 0.077)	0.640	0.031 (-0.016 to 0.078)	0.195
Albumin	0.004 (-0.003 to 0.011)	0.310	0.004 (-0.001 to 0.009)	0.154
Total cholesterol	0.048 (0.041 to 0.054)	1.97×10^{-49}	0.018 (0.013 to 0.023)	1.94×10^{-12}
Cholesterol ester	0.047 (0.041 to 0.053)	4.88×10^{-48}	0.020 (0.015 to 0.025)	2.18×10^{-14}
Free cholesterol	0.047 (0.040 to 0.053)	7.11×10^{-45}	0.013 (0.008 to 0.018)	1.20×10^{-6}
LDL cholesterol	0.023 (0.017 to 0.030)	2.55×10^{-12}	0.020 (0.016 to 0.025)	1.71×10^{-16}
Triglycerides	0.007 (0.001 to 0.014)	0.028	0.048 (0.043 to 0.052)	1.22×10^{-78}
Phospholipids	0.078 (0.073 to 0.083)	8.62×10^{-145}	0.043 (0.038 to 0.048)	1.64×10^{-54}
Free fatty acids	0.020 (0.014 to 0.027)	1.96×10^{-9}	0.021 (0.016 to 0.026)	7.67×10^{-17}
Lipoprotein (a)	0.007 (0.000 to 0.014)	0.047	0.003 (-0.002 to 0.009)	0.182

β values represent differences in CEC for a 1 SD change in each variable except for categorical variables. BMI indicates body mass index; CEC, cholesterol efflux capacity; CI, confidence interval; DBP, diastolic blood pressure; HDL, high-density lipoprotein; LDL, low-density lipoprotein; and SBP, systolic blood pressure.

HDL Particle Parameters and CEC

We next investigated the relationship between other features of HDL particles and CEC. Several of these parameters showed an association with CEC even after adjustment for plasma HDL-C (Table 4). Specifically, there was a positive correlation between CEC and HDL particle number ($R=0.45$; $P<0.0001$) and with HDL particle size ($R=0.49$; $P<0.0001$; Figure II in the [online-only Data Supplement](#)).

In a multivariate model, adjusting for plasma HDL-C and the clinical and serum parameters associated with efflux, 3 HDL particle parameters remained independently associated with CEC: HDL particle number ($\beta=0.018$; 95% confidence interval [CI], 0.010–0.025; $P<0.0001$), HDL particle size ($\beta=0.022$; 95% CI, 0.013–0.032; $P<0.0001$), and apolipoprotein A-II level ($\beta=0.008$; 95% CI, 0.001–0.015; $P=0.032$).

Variables That Explain Discordance Between CEC and Plasma HDL-C

Although CEC is overall significantly associated with plasma HDL-C, some individuals exhibited discordance between CEC and plasma HDL-C (ie, a high CEC despite low plasma HDL-C or a low CEC despite high plasma HDL-C; Figure II in the [online-only Data Supplement](#)). We therefore explored whether any clinical, serum, or HDL particle parameters explained this discordance. For this analysis, each individual was categorized into low (bottom tertile), normal (middle

tertile), or high (upper tertile) for both CEC and HDL-C. From cross-tabulation, individuals with concordance (high CEC and high HDL-C levels; low CEC and low HDL-C levels) and discordance (low CEC and high HDL-C levels; high CEC and low HDL-C levels) were identified. The clinical and biological characteristics of the 4 groups are shown in Table I in the

Table 3. Clinical and Serum Parameters Showing Independent Association Within CEC

	β (95% CI)	P Value
Age	-0.0104 (-0.0163 to -0.0044)	6.5×10^{-4}
SBP	0.0068 (0.0016 to 0.0120)	0.0104
Alcohol consumption	0.0091 (0.0042 to 0.0140)	2.7×10^{-4}
Albumin	0.0057 (0.0008 to 0.0107)	0.0231
HDL-C	0.1010 (0.0941 to 0.1079)	4.4×10^{-181}
Triglycerides	0.0383 (0.0320 to 0.0445)	3.2×10^{-33}
Phospholipids	0.0227 (0.0153 to 0.0301)	2.0×10^{-9}
Lipoprotein (a)	0.0057 (0.0009 to 0.0104)	0.0198

β values represent differences in CEC for a 1 SD change in each variable. Model also included sex ($P=0.099$), waist:hip ratio ($P=0.154$), smoking pack years ($P=0.154$), and low-density lipoprotein ($P=0.120$) as fixed effects and family, CEC plate and CEC batch as random effects. CEC indicates cholesterol efflux capacity; CI, confidence interval; HDL-C, high-density lipoprotein cholesterol; and SBP, systolic blood pressure.

Table 4. Relationship Between HDL Particle Parameters and CEC

	Unadjusted for HDL-C		Adjusted for HDL-C	
	β (95% CI)	P Value	β (95% CI)	P Value
HDL-C	0.095 (0.090 to 0.100)	3.15×10 ⁻²³⁰
HDL-CE	0.067 (0.061 to 0.073)	3.10×10 ⁻⁹³	0.005 (-0.020 to 0.011)	0.194
HDL free cholesterol	0.069 (0.063 to 0.075)	2.45×10 ⁻⁹⁷	0.003 (-0.005 to 0.010)	0.477
HDL triglycerides	0.025 (0.019 to 0.032)	4.75×10 ⁻¹³	0.031 (0.026 to 0.036)	2.88×10 ⁻³³
HDL phospholipids	0.072 (0.066 to 0.078)	4.39×10 ⁻¹⁰⁶	0.029 (0.024 to 0.035)	2.28×10 ⁻²³
HDL particle number	0.069 (0.063 to 0.074)	1.22×10 ⁻¹⁰²	0.035 (0.030 to 0.040)	3.56×10 ⁻³⁸
Mean HDL particle size	0.076 (0.070 to 0.081)	4.90×10 ⁻¹³¹	0.020 (0.013 to 0.026)	1.41×10 ⁻⁸
Apolipoprotein A-I	0.085 (0.080 to 0.091)	1.82×10 ⁻¹⁷²	0.028 (0.020 to 0.036)	4.42×10 ⁻¹²
Apolipoprotein A-II	0.066 (0.060 to 0.072)	2.98×10 ⁻⁹⁴	0.031 (0.025 to 0.036)	1.20×10 ⁻²⁸

β values represent change in CEC for a 1 SD change in each variable. Results relate to the univariate analysis with and without adjustment for HDL-C. CEC indicates cholesterol efflux capacity; CI, confidence interval; HDL-C, high-density lipoprotein cholesterol; and HDL-CE, high-density lipoprotein cholesteryl ester.

online-only Data Supplement. After adjusting for HDL-C, several variables influenced the odds of an individual being in the low CEC/high HDL-C group or in the high CEC/low HDL-C group (Table 5; Table II in the [online-only Data Supplement](#)). Adjusting for the number of tests undertaken plasma triglyceride level was the only factor that was significantly associated with partitioning individuals in both directions. Individuals with higher plasma triglyceride levels were less likely to be in the low CEC/high HDL-C group (odds ratio, 0.20; 95% CI, 0.08–0.51 per 1 SD higher plasma triglyceride) and more likely to be in the high CEC/low HDL-C group (odds ratio, 2.03; 95% CI, 1.55–2.65 per 1 SD higher plasma triglyceride) compared with the concordant groups (Table 5).

Stability of CEC

CEC measured ≈2 to 3 years apart using the same serum sample from 90 individuals showed high correlation ($r=0.81$; $P<0.0001$; Figure [A]). CEC measurements in different serum samples collected 10 to 12 years apart from the same individual were also strongly correlated ($r=0.73$; $P<0.0001$; Figure [B]). The average CEC values in these individuals (relative to the pooled sample) were also remarkably similar between the baseline samples and the samples taken 10 to 12 years later

(CEC at baseline=0.99±0.17, CEC at follow-up=0.98±0.13; $P=0.658$). HDL-C measured in the same samples ($n=82$) also showed a high degree of correlation ($r=0.82$; $P<0.0001$) (Figure [C]).

Heritability of CEC and Exploratory Association Analysis of Selected Genetic Variants With CEC

Narrow sense heritability estimate (h^2) for plasma HDL-C accounting for age, sex, batch, and plate was 0.51 ($P=3.08\times 10^{-33}$). A similar analysis for cholesterol CEC gave values of 0.31 ($P=3.89\times 10^{-14}$) without adjustment for HDL-C and 0.13 ($P=1.44\times 10^{-3}$) after adjusting for plasma HDL-C.

Of the 55 single nucleotide polymorphisms associated with HDL-C identified through large-scale genome-wide association studies¹⁷ that we tested, 7 variants (most notably lead variants at *LIPC*, *CETP* [cholesteryl ester transfer protein], and *LIPG*) showed a nominal association ($P<0.05$) with HDL-C in the GRAPHIC parental generation and 7 variants with CEC unadjusted for HDL-C (most notably variants at *LIPC* and *ANGPTL8* [angiopoeitin-like protein]; Table III in the [online-only Data Supplement](#)). Interestingly, the association of rs737337 at the *ANGPTL8* locus was more significant for CEC ($P=4.4\times 10^{-3}$) than for HDL-C ($P=0.088$) and

Table 5. Variables Associated With Either a Low CEC and High Plasma HDL-C Level or a High CEC With a Low Plasma HDL-C Level

	Low CEC/High HDL-C		High CEC/Low HDL-C	
	Odds Ratio (95% CI)	P Value	Odds Ratio (95% CI)	P Value
SBP	0.79 (0.53 to 1.18)	0.247	1.71 (1.27 to 2.31)	4.09×10 ⁻⁴
DBP	0.74 (0.50 to 1.12)	0.158	1.84 (1.35 to 2.50)	9.98×10 ⁻⁵
Triglycerides*	0.20 (0.08 to 0.51)	7.17×10 ⁻⁴	2.03 (1.55 to 2.65)	2.35×10 ⁻⁷
Phospholipids	0.54 (0.36 to 0.81)	0.002	2.63 (1.78 to 3.89)	1.20×10 ⁻⁶
Mean HDL particle size	1.00 (0.59 to 1.69)	0.987	2.82 (1.75 to 4.54)	2.01×10 ⁻⁵
Apolipoprotein A-II	0.60 (0.41 to 0.86)	0.006	2.45 (1.52 to 3.94)	2.45×10 ⁻⁴

Odds of having high vs low CEC given high HDL-C level (left) or low vs high CEC given low HDL-C level (right). Odds ratios represent change in risk for a 1 SD change in each variable. Results relate to univariate analyses but include an adjustment for HDL-C as a covariate, due to differences in the distribution of HDL-C between groups. CEC indicates cholesterol efflux capacity; CI, confidence interval; and HDL-C, high-density lipoprotein cholesterol.

*Reaches a Bonferroni level of significance of 0.002 (accounting for 27 tests, Table II in the [online-only Data Supplement](#)).

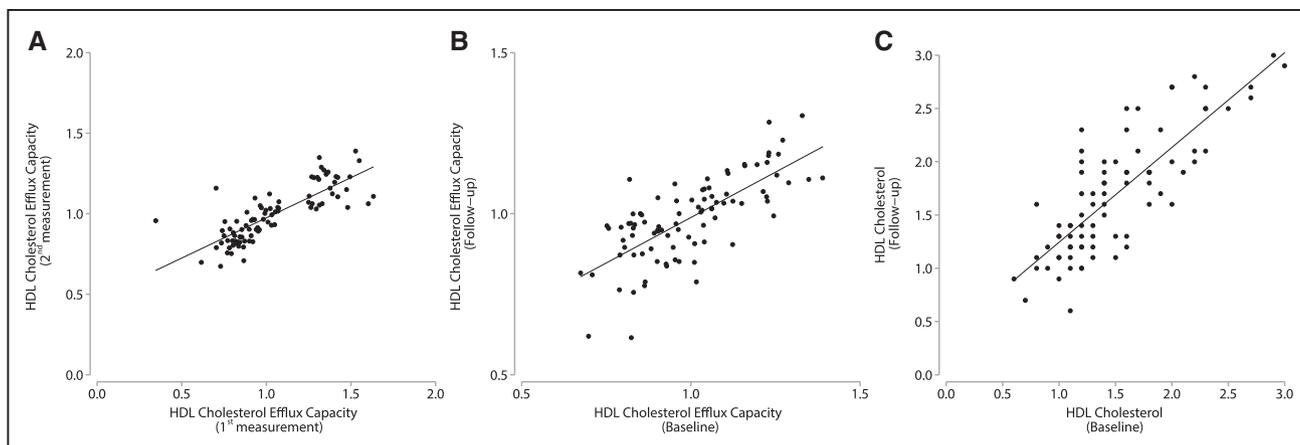


Figure. **A**, Correlation of duplicate measurements of cholesterol efflux capacity (CEC) performed in individuals ($n=90$) using the same serum sample measured 2 to 3 years apart. **B**, Correlation of CEC in individuals ($n=90$) on serum obtained at baseline and at 10- to 12-year follow-up. **C**, Correlation of high-density lipoprotein cholesterol (HDL-C) in individuals ($n=82$) in serum obtained at baseline and at 10- to 12-year follow-up.

remained borderline significant ($P=0.053$) after adjusting for HDL-C (Table III in the [online-only Data Supplement](#)).

Discussion

We report the first family-based study of CEC and the most detailed study to date of the determinants of CEC beyond plasma HDL-C level, including an assessment of its stability over a decade and an estimate of its heritability. Although previous studies have examined the association of CEC with a variety of clinical, biochemical, and HDL particle parameters,^{11–14} to the best of our knowledge, ours is the first study to examine the relationship between all of these parameters and CEC in the same subjects.

As expected, CEC was positively correlated with plasma HDL-C level with an $r=0.62$. This is slightly higher than has been reported in previous studies^{11–14} but nonetheless confirms that CEC is not simply a surrogate for plasma HDL-C level. We also observed a moderate independent correlation between CEC and both HDL particle number and HDL particle size. However, even these 3 parameters (plasma HDL-C, HDL particle number, and HDL particle size) do not fully explain CEC, suggesting that other factors are involved in determining the CEC.

Several clinical characteristics showed univariate association with CEC, even after adjustment for HDL-C, including age, sex, blood pressure, body mass index, waist/hip ratio, smoking history, and alcohol consumption, although, interestingly, not diabetes mellitus (Table 2). Many of these correlations have been reported previously.^{11–14} However, the majority of these associations with clinical characteristics became non-significant in a multivariate model that included biochemical parameters, suggesting that these associations are because of a primary association of the clinical characteristics with biochemical parameters which affect CEC. The exceptions were age, systolic blood pressure, and alcohol consumption (Table 3). Interestingly, in the univariate analysis, there was a positive association of age with CEC, whereas in the multivariate model the association was inverse suggesting that the independent effect of age on CEC is a decline with increasing

age. The reason for the association of CEC with systolic blood pressure is unclear but a possible reason for the association with alcohol consumption is a direct effect of alcohol intake on ABCA1 (ATP-binding cassette transporter 1) expression.^{18,19}

Several plasma lipid parameters—triglycerides, phospholipids and Lp(a)—were positively associated with CEC (Table 3). These results are supported by other published findings, in particular, implicating a role of phospholipids in cholesterol efflux.^{20–22} The association with Lp(a) is also biologically plausible as *in vitro* studies have shown that Lp(a) upregulates ABCA1 in liver cells via scavenger receptor B-1.²³ Interestingly, the association of CEC with triglycerides and Lp(a) is in a direction associated with increased risk of coronary artery disease, suggesting that the overall relationship of CEC to cardiovascular risk may involve a balance of different influences affecting CEC. In univariate, there was no significant relationship between CEC and serum albumin level (Table 2); however, in the multivariate model, albumin level was positively associated with CEC (Table 3). It has been reported that albumin can serve as a cholesterol acceptor and promote cholesterol efflux,^{24,25} and this may explain the observed association.

HDL particle number, HDL particle size, and apolipoprotein AII were the main components of HDL that influenced CEC. Rohatgi et al¹³ also reported that HDL particle size was positively correlated with CEC, although in their study the association was not significant. Previous studies have also shown a positive correlation between CEC and apolipoprotein AII levels which may be attributed to the pre- β HDLs containing apolipoprotein AII.^{12,26}

The reasons for the discordancy between CEC and plasma HDL-C level remain poorly understood. Here, we show that one explanation relates to serum triglyceride levels. In a discordance analysis, we observed that individuals with high serum triglycerides were more likely to have a high CEC even if HDL-C levels were low and vice versa. Our finding is consistent with a recent study that reported increased CEC in individuals with high triglyceride and low HDL-C levels.²⁷ Furthermore, previous findings demonstrated that sera from

individuals with high triglyceride levels but low HDL-C levels exhibited increased ABCA1-mediated efflux because of increased pre- β HDL levels.^{28,29} This suggests that although there is an overall inverse relationship between plasma triglyceride and HDL-C, the positive association between serum triglyceride level and CEC contributes to the variability observed between plasma HDL-C and CEC. Further, our findings suggest that an effect on CEC is not a major mechanism contributing to the emerging causal role of triglycerides in atherosclerosis.³⁰

The family-based nature of GRAPHIC allowed us to explore the heritability of CEC. Our analysis showed that this was weaker than for plasma HDL-C but remained significant after adjustment for plasma HDL-C, suggesting that specific genetic variants may affect CEC. In an exploratory analysis to identify such variants, we examined the association with CEC (and HDL-C) of variants associated with HDL-C identified through large-scale genome-wide association studies¹⁷ to see if any of these had a stronger association with CEC. Fully recognizing the underpowered nature of the analysis, which meant that only a few variants showed even a nominal association with HDL-C in our study, we observed that the association of the lead variant (rs737337) at the *ANGPTL8* locus was more significant for CEC than for HDL-C and remained borderline significant ($P=0.053$) after adjusting for HDL-C (Table III in the [online-only Data Supplement](#)). *ANGPTL8* codes for a secreted protein, angiopoietin-like protein 8, which with other members of the *ANGPTL* family negatively regulates lipoprotein lipase and increases plasma triglyceride level.³¹ Given the influence of triglycerides on CEC, as discussed earlier, an effect though triglycerides could provide a mechanism by which variants at this locus affects CEC. However, the association first needs to be confirmed in much larger studies, but our preliminary analysis suggests that specific variants that specifically affect CEC may be tractable.

Several further limitations of our study need to be acknowledged. Individuals included in the study are all of European ancestry and come from a small geographical location so may not fully represent the wider population or other ethnic groups. Samples were stored at -80°C before analysis without thawing to preserve sample integrity. However, the storage time between the lipid/lipoprotein and the CEC measurements did vary (≈ 4 versus ≈ 8 years, respectively). Although there may be a modest effect of this on the values obtained, we feel it is likely to be small given the good concordance between baseline samples that were measured 3 years apart that had also undergone an additional freeze and thaw cycle. Although we observed statistically significant associations of CEC with several clinical, biochemical, and HDL particle parameters beyond HDL-C, many of the associations were small and their clinical relevance remains to be determined. Finally, given the relatively young age of the GRAPHIC cohort and the relatively short duration of follow-up, relatively few cardiovascular events have occurred to relate CEC measurements to outcomes.

In summary, we have performed a large family-based study of the determinants of CEC. Our results establish that CEC is a stable trait over time, is influenced by specific clinical, serum, and HDL particle parameters factors beyond HDL-C, can be maintained in persons with a low plasma HDL-C

by elevated serum triglyceride level, and is modestly independently heritable.

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Disclosures

None.

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Highlights

- Cholesterol efflux capacity is a stable trait that is influenced by number of clinical, biochemical, and high-density lipoprotein particle features, beyond high-density lipoprotein cholesterol.
- Triglyceride levels partly explain discordancy between cholesterol efflux capacity and high-density lipoprotein cholesterol.
- Cholesterol efflux capacity has a modest heritability independently of high-density lipoprotein cholesterol.