Statistical analysis plan for the Head Position in Stroke Trial (HeadPoST): an international cluster cross-over randomised trial

Laurent Billot,1,2  Mark Woodward,1,2,3,4  Hisatomi Arima,1,5  Maree L Hackett,1,6  Paula Muñoz-Venturelli,1,2,7  Pablo M. Lavados,7,8  Alejandro Brunser,7  Bin Peng,9  Liying Cui,9  Lily Song,10,11  Stephane Heritier,12  Stephen Jan,1,2  Sandy Middleton,13  Verónica V. Olavarria,7  Joyce Lim,1  Thompson Robinson,14  Octavio Pontes-Neto,15  Caroline Watkins,6,13  and Craig S Anderson1,2,11,16

1The George Institute for Global Health, Sydney, Australia  
2School of Public Health, University of Sydney, Australia  
3The George Institute for Global Health, University of Oxford, UK  
4Department of Epidemiology, Johns Hopkins University, Baltimore, MD, USA  
5Department of Preventive Medicine and Public Health, Faculty of Medicine, Fukuoka University, Fukuoka, Japan  
6College of Health and Wellbeing, University of Central Lancashire, Preston, Lancashire, UK.  
7Unidad de Neurología Vascular, Servicio de Neurología, Departamento de Medicina Interna, Clínica Alemana de Santiago, Facultad de Medicina Clínica Alemana Universidad del Desarrollo, Santiago, Chile  
8Departamento de Ciencias Neurológicas, Facultad de Medicina, Universidad de Chile  
9Department of Neurology, Peking Union Medical College Hospital, Beijing, China  
10Department of Neurology, 85 Hospital of People's Liberation Army, Shanghai, China  
11The George Institute China, Peking University Health Science Center, Beijing, China  
12Department of Epidemiology and Preventative Medicine, School of Public Health and Preventative Medicine, Monash University, Melbourne, Australia  
13Nursing Research Institute, St Vincents Health Australia (Sydney) and Australian Catholic University, Australia  
14Department of Cardiovascular Sciences and NIHR Biomedical Research Unit for Cardiovascular Sciences, University of Leicester, Leicester, UK  
15Stroke Service, Neurology Division, Department of Neuroscience and Behavioural Sciences, Ribeirão Preto Medical School, University of São Paulo.  
16Neurology Department, Royal Prince Alfred Hospital, Sydney, NSW, Australia

Corresponding author
Professor Craig S Anderson  
The George Institute for Global Health  
PO Box M201, Missenden Road, Campderdown, NSW 2050, AUSTRALIA  
T: +61-2-9993-4500  F: +61-2-9993-4502  
Email: canderson@georgeinstitute.org.au
Abstract

**Background:** Uncertainty exists over the optimum position for the head of a patient with acute stroke. The Head Position in Stroke Trial (HeadPoST) aims to determine the comparative effectiveness of lying flat (0°) compared to sitting up (≥30°) head positioning, initiated within 24 hours of hospital admission for patients with acute stroke.

**Design:** An international, cluster randomised, crossover, open, blinded outcome assessed clinical trial. Each hospital with an established acute stroke unit (cluster) site was required to recruit up to 70 consecutive cases of acute stroke, including both acute ischaemic stroke and intracerebral haemorrhage, in each randomised head position as a ‘business as usual’ policy.

**Objective:** To outline in detail the predetermined statistical analysis plan (SAP) for the study.

**Methods:** All accumulated data will be reviewed and formally assessed. Information regarding baseline characteristics of patients, their process of care and management will be outlined, and for each item, statistically relevant descriptive elements will be described. For the trial outcomes, the most appropriate statistical comparisons are described.

**Results:** A SAP was developed that is transparent, verifiable, and predetermined before completion of data collection.

**Conclusions:** We developed a predetermined SAP for HeadPoST to avoid analysis bias arising from prior knowledge of the findings, in order to reliably quantify the benefits and harms of lying flat versus sitting up early after the onset of acute stroke.

**Trial registration:** ClinicalTrials.gov identifier NCT02162017; ANZCTR identifier ACTRN12614000483651
Uncertainty exists over the optimum position of the head of a patient with acute stroke. Surveys indicate variation in clinical practice, with few specific protocols used and lack of consensus over the most appropriate policy.\textsuperscript{1-3} Some stroke guidelines provide recommendations based on a sensible extrapolation of the evidence from ventilated patients and those with head trauma, as the data pertaining to stroke patients are limited.\textsuperscript{4-8}

A strong rationale can be made of benefits to be derived from sitting up to reduce intracranial pressure (ICP) in acute intracerebral haemorrhage (ICH)\textsuperscript{9} or severe acute ischaemic stroke (AIS). Yet, although a systematic review of observational studies indicates that lying flat is associated with a significant increase in ICP in patients with brain injury,\textsuperscript{10} only small changes in ICP have been noted with such head positioning in patients with large hemispheric AIS.\textsuperscript{11} An argument has recently arisen for potential benefits on the ischaemic penumbra of lying flat through augmentation of cerebral blood flow (CBF), based on observational studies showing increased mean flow velocity (MFV) of the middle cerebral artery on transcranial doppler (TCD); an hypothesis being tested in the Head Position in Stroke Trial (HeadPoST) Pilot trial.\textsuperscript{12,13,14} A counterargument against such positioning, though, is that it can increase the risk of pneumonia, particularly in those fed with a nasogastric tube or mechanically ventilated.\textsuperscript{15,16} However, a recent study suggests that such concerns are unjustified, as a very low frequency (4.5-6\%) of pneumonia was found in AIS patients who lay flat following thrombolysis treatment.\textsuperscript{17} Furthermore, swallowing is an active process independent of gravity, and any cardiorespiratory risks from lying flat are likely reduced in non-ventilated patients through actions such as ‘side-lying’ and avoidance of feeding.\textsuperscript{18,19}

Whilst sitting up is common in-hospital care practice in western countries, an increasing number of stroke services have introduced the lying flat position for AIS patients on the basis of encouraging data from small observational studies showing increased CBF on TCD. Conversely, in low-income countries, where most of the global stroke burden exists, the lying
flat position (and more prolonged immobilisation) is widely applied due to use of simple non-mechanical beds. Taken together with other geographical variations in nursing practices and hospital care policies, the manner in which acute stroke patients are nursed could be highly relevant to variable outcomes and adverse events from this critical illness across the world.

We initiated the HeadPoST study, as nursing care for stroke patients is a universal requirement and their correct positioning is an important clinical question. The aim is to determine the comparative effectiveness (and safety) of the lying flat versus sitting up head position in patients with acute stroke. Given uncertainty over the relevance of any treatment effects on a surrogate measure, such as increased CBF after AIS, the study has been powered to determine effects on hard clinical endpoints assessed by trained personnel blind to treatment allocation. The use of broad inclusion criteria will allow an assessment of any heterogeneity of potential benefits (and harms) between AIS and ICH, and across particular subtypes of AIS, for example lacunar versus large artery occlusion. The cluster randomised crossover design was adopted to provide efficiency gains in recruitment and for assessment of likely, modest treatment effects, whilst the pragmatic approach to the implementation of the intervention across a wide range of hospital stroke services in different countries, should enhance the external validity (generalisability) of the results. Finally, the use of remote and site monitoring procedures was to ensure adherence to the protocol, fidelity of the intervention, and high quality standards of data collection and participant registration and management.

Herein, we describe the statistical analysis plan (SAP) for HeadPoST (see Appendix S1), which was finalised prior to completion of the data collection, and is what investigators will adhere to in analysing the results of the study. The SAP was approved and signed off by the study Steering Committee in October 2016, following completion of participant recruitment in August 2016, and before final patient follow-up in December 2016. The statistical analyses specified in the SAP occurred in January 2017.
The HeadPoST study has been designed to provide reliable evidence about the efficacy, effectiveness and safety, of a simple nursing intervention in order to provide reliable evidence to inform policy in the early management of patients with AIS and ICH.
Disclaimers

Pablo Lavados reports research grants from The George Institute and Clínica Alemana de Santiago during the conduct of the study; personal fees from Bristol Meyer Squibb for atrial fibrillation and stroke advisory board; an unrestricted research grant from Lundbeck; personal fees from AstraZeneca and Bayer as SOCRATES and ESUS NAVIGATE trials national leader and a Chilean Government research grant for the ÑANDU project outside the submitted work. Maree Hackett holds a National Heart Foundation Future Leader Fellowship. Mark Woodward holds a National Health and Medical Research Council (NHMRC) of Australia Principal Research Fellowship and Stephen Jan holds an NHMRC Senior Research Fellowship. Craig Anderson holds a Senior Principal Research Fellowship and reports Advisory Boards sitting fees from Medtronic and Astra Zeneca, and receiving travel reimbursement and honorarium from Boehringer Ingelheim and Takeda China. Verónica Olavarría received a travel grant from Boehringer Ingelheim and a research grant from Clínica Alemana. Alejandro Brunser, Paula Muñoz-Venturelli, Laurent Billot and Hisatomi Arima have no conflict of interest to declare.
References


